

**Date: 14/10/2019**

To,  
The Manager (Listing)  
**BSE Limited**  
Phiroze Jeejeebhoy Towers.  
Dalal Street,  
Mumbai-400 001.

**SCRIP CODE:542724**

**Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing and Obligations Requirements) Regulations, 2015 for the half year ended on 30<sup>th</sup> September, 2019.**

Dear Sir/Madam,

Find herewith attached Certificate under Regulation 40(9) of SEBI (Listing and Obligations Requirements) Regulations, 2015 for the half year ended on 30<sup>th</sup> September, 2019 issued by Bigshare Services Private Limited. Registrar and Share Transfer Agent (RTA) and Mr. Hardik Joshi, Practicing Company Secretary, Ahmedabad.

We request you to take the same in your record.

Thanking you,

Yours faithfully,  
**FOR EARUM PHARMACEUTICALS LIMITED**



**BHUMISHI NARENDRABHAI PATEL**  
Managing Director and Chairman  
DIN: 02516641

**Encl: Compliance Certificate.**



**HARDIK JOSHI & ASSOCIATES**  
**PRACTICING COMPANY SECRETARIES**

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED ON 30<sup>TH</sup> SEPTEMBER, 2019**

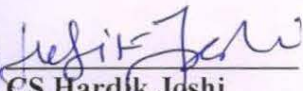
I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Earum Pharmaceuticals Limited** ("the Company") as maintained by **Bigshare Services Private Limited** pertaining to transfer of equity shares of the company for the period from 04/07/2019 (**Company Listed on BSE-SME Platform as on 4<sup>th</sup> July, 2019**) to 30/09/2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and basis of relevant information together with necessary management representations as provided by the Company, I hereby certify that the Company during the Period.

- A) There were no instances of transfer/transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies during the period.

Date : 14/10/2019  
Place: Ahmedabad

For **HARDIK JOSHI & ASSOCIATES**  
Practicing Company Secretaries



  
CS Hardik Joshi

Proprietor

M. No: 39920

COP. : 21458

UDIN: A039920A000075604

**Address: C/55, Shyamal Park Society, Nikol Road, Naroda, Ahmedabad-382330**

**Contact No. : 9898842201 Email: cshardikjoshi@gmail.com**





# Bigshare Services Pvt. Ltd.

A-802, Samudra Complex, Near Girish Cold Drinks,  
off C. G. Road, Navranpura, Ahmedabad - 380 009.  
Email: [bssahd@bigshareonline.com](mailto:bssahd@bigshareonline.com) • website: [www.bigshareonline.com](http://www.bigshareonline.com)

SEBI  
REGISTERED CATEGORY I  
REGISTRAR & TRANSFER  
AGENT



EARUM PHARMACEUTICALS LIMITED  
G1, GROUND FLOOR, V R COMPLEX  
NEAR SANATHAL CROSS ROAD,  
SP RING ROAD, SANATHAL  
AHMEDABAD, GUJARAT  
AHMEDABAD 382210

Dear Sir

UNIT :- EARUM PHARMACEUTICALS LIMITED

Sub : Clause 40 of the Securities Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2017.

We hereby give the following details during 01/04/2019 to 30/09/2019 with respect to Compliance Certificate under Clause 40 of the Securities Exchange Board of India (Listing obligation and Disclosures Requirements) Regulations, 2017.

Sr. No	Particulars	No. of Transact	No. of Shares
1	Consolidation	NIL	NIL
2	Correction Entry	NIL	NIL
3	Exchange	NIL	NIL
4	Folio Consolidation	NIL	NIL
5	Mutilation	NIL	NIL
6	Name Deletion	NIL	NIL
7	Re-Materialization	NIL	NIL
8	Replacement	NIL	NIL
9	Share Duplicate Issue	NIL	NIL
10	Split	NIL	NIL
11	Transmission	NIL	NIL
12	Transposition	NIL	NIL

Thanking You,

Yours faithfully,  
For BIGSHARE SERVICES PVT LTD

  
Authorized Signatory



CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)

Regd. Office: E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E), Mumbai - 400 072. India.  
Corporate Office: 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400 059.  
Tel. : +91 22 6263 8200 • Fax : +91 22 6263 8299 • Email : [info@bigshareonline.com](mailto:info@bigshareonline.com) • Website : [www.bigshareonline.com](http://www.bigshareonline.com)